

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Carol Burchfield

Applicant : Seth Weisberg, et al.  
Application No. : 09/788,069  
Filed : February 16, 2001  
Title : ON-LINE VALUE-BEARING INDICIUM PRINTING USING DSA  
  
Grp./Div. : 3621  
Examiner : Firmin Backer  
  
Docket No. : 41232/SAH/S850

**INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 23, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

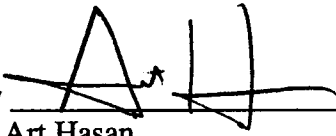
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

**Application No. 09/788,069**

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/tmw

Enclosures: PTO/SB/08A/B, w/references

TMW PASS585435.1-\* -09/23/04 8:11 AM

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

<b>Attorney Docket Number</b>	<b>41232/SAH/S850</b>
Application Number	09/788,069
Filing Date	February 16, 2001
Applicant(s)	Seth Weisberg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,447,890	05-08-1984	Duwel et al.
		4,802,218	01-31-1989	Wright et al.
		4,935,961	06-19-1990	Gargiulo et al.
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		5,812,990	09-22-1998	Ryan, Jr. et al.
		5,812,991	09-22-1998	Kara

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DATE  
CONSIDERED

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<b>FORM PTO/SB/08A/B (10-01)</b> Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Attorney Docket Number</b>	<b>41232/SAH/S850</b>
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	<b>Examiner Name</b>	<b>Firmin Backer</b>

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		5,819,240	10-06-1998	Kara
		5,822,739	10-13-1998	Kara
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EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,385,654 B1	05-07-2002	Tanaka
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		US 2003/0130954 A1	07-10-2003	Carr et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 604 146 A3	06-29-1994	Herbert	
		EP 0 604 146 B1	11-26-1997	Herbert	
		EP 0 604 148 A3	06-29-1994	Herbert	
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		GB 2318486 A	04-22-1998	Holloway	

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		WO 94/27258 A1	11-24-1994	Rhoades et al.	
		WO 98/13790 A1	04-02-1998	Brookner, et al.	
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		WO 99/18514 A1	04-15-1999	Costigan, et al.	
		WO 00/19382 A1	04-06-2000	Ananda	
		WO 00/70503 A1	11-23-2000	Brookner	
		WO 01/50227 A2	07-12-2001	Mattern, et al.	

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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